PTC/SB/08a (07-09) Approved for use through 67/31/2012, OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Substillu	ite for form 1449/PTO			Complete if Known		
	IFORMATION DISCLOSURE TATEMENT BY APPLICANT		Application Number	10/563,084-Conf. #5853		
INF	ORMATION	l Di	SCLOSURE	Filing Date	May 22, 2006	
ST	STATEMENT BY APPLICANT			First Named Inventor	Stefan Langenbach	
				Art Unit	2611	
1.5	(Use as many sh	eets a	s necessary)	Examiner Name	Y. T. Tse	
Sheet	1	οŧ	2	Attorney Docket Number	64726(45710)	

U.S. PATENT DOCUMENTS						
Examiner initials*	Cite No.1	Document Number Number-Kind Code <sup>2</sup> (#known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Gocument	Pagas, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
*****	ļ					
				A		
				<u> </u>		
	<u>.</u>					

FOREIGN PATENT DOCUMENTS							
		Foreign Patent Document	Publication Name of MM-DD-YYYY Applicant of	Name of Palentee or	Pages, Columna, Lines,		
	Cite No. <sup>1</sup>	Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (Finown)		Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear		
	***************************************						

	······································	 	
1	Examiner	Date	\$
3	Signature	Considered	

I hereby certify that this paper (along with any paper referfied to as being attached or enclosed) is being transmitted via the Office electronic filing system in accordance with § 1.6(a)(4).

Electronic Signature for Howard M. Gilten: "Alloward M. Gilten/ Dated: March 26, 2010 (

<sup>\*</sup>EXAMINER: Initial if reterence considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). \*See Kinds Codes of USPTO Patent Documents at <a href="https://www.usplo.gov">www.usplo.gov</a> or MPEP 901.04. \*Enter Office that issued the document, by the two-letter code (VVPO Standard ST.3). \*For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. \*Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. \*Applicant is to place a check mark here it English language Translation is attached.

PTC/SB/08b (07-09)
Approved for use through 07/31/2012. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/FTO		Complete if Known			
				Application Number	10/563,084-Conf. #5853
IN	<b>IFORMATION</b>	I DI	SCLOSURE	Filing Date	May 22, 2006
STATEMENT BY APPLICANT			APPLICANT	First Named Inventor	Stefan Langenbach
				Art Unit	2611
	(Use as many sh	eets as	: necessary)	Examiner Name	Y. T. Tse
Sheet	2	of	2	Attorney Docket Number	64726(45710)

Examiner Initials	Cite No.1							
1		BOHN et al., "An Adaptive Optical Equalizer Concept for Single Channel Distortion Compensation", ECOC 2001.						
		BULOW et al., "Electronic PMD Mitigation – from Linear Equalization to Maximum-Likelihood Detection", OFC 2001.						
		BULOW et al., "Electronically Enhanced Optical PMD Compensation", ECOC 2000.						
		OTTE, et al., "Performance of Electronic Compensator for Chromatic Dispersion & SPM", ECOC 2000.						
		STICHT et al., *Adaptation of Electronic PMD Equaliser Based on BER Estimation Derived from FEC Decoder*, ECOC 2001.						
		VITERBI, "Error Bounds for Convolutional Codes and an Asymptotically Optimum Decoding Algorithm" IEEE Trans. Inf. Theory, IT-13, pp. 260-269, April 1967.						

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw the through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>quot;Applicant's unique cliation designation number (optional). "Applicant is to place a check merk here if English language Translation is attached.